



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

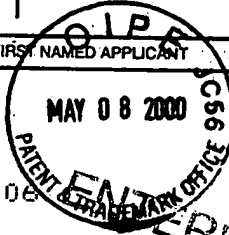
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/439,740	11/15/99	FUNK	W UVI-005CP2CN

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON MA 02109

0222/0108



NOT ASSIGNED

DOCKETED

March 6, 2000 - Missing Parts & Surcharge 1636
Aug 6, 2000 - ESP.

DATE MAILED:

01/06/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If ☒ all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 094.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 380 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 9 for 6 total claims over 20.

\$ 39 for 5 independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

05/11/2000 TTRAN1 00000105 09439740

Customer Service Center

Initial Patent Examination Division (703) 308-1203

01 FC:201
02 FC:202
03 FC:203
04 FC:205

380.00 OP
195.00 OP
54.00 OP
65.00 OP

RECEIVED LAHIVE & COCKFIELD DOCKET DEPT.
JAN 24 2000
RETRIEVED: 2/10/00
FORWARDED: 2/12/00

LAHIVE
&
COCKFIELD
L L P

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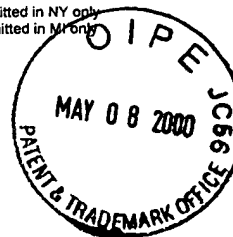
* Admitted in NY only
** Admitted in MA only

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Secet for
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May 5, 2000



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Assistant Commissioner for Patents
Washington, D.C. 20231

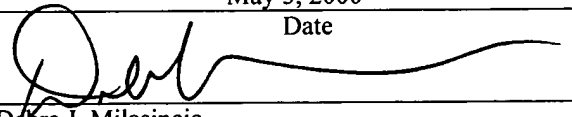
Re: U.S. Continuation Patent Application Serial No. 09/439,740
Title: *Recombinant Transferrins, Transferrin
Half-Molecules and Mutants Thereof*
Inventors: Walter D. Funk *et al.*
Filing Date: November 15, 1999
Attorney Docket No. UVI-005CP2CN

Dear Sir:

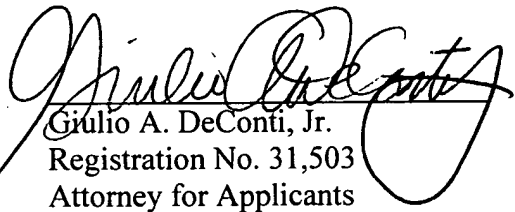
I enclose herewith for filing in the above-identified application the following:

1. Response to Notice to File Missing Parts of Application;
2. Request for Two-Month Extension of Time (*based on small entity status*);
3. Copy of Notice to File Missing Parts of Application (*Form PTO-1533*);
4. Checks for \$694.00 (*filing fees*) and \$190.00 (*extension fee*); and
5. Pre-paid acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *A duplicate of this sheet is enclosed.*

<p>Certificate of First Class Mailing (37 CFR 1.8(a))</p> <p>I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on:</p> <p>May 5, 2000 Date</p> <p> Debra J. Milasincic</p>
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Respectfully submitted,
LAHIVE & COCKFIELD, LLP


Giulio A. DeConti, Jr.
Registration No. 31,503
Attorney for Applicants

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

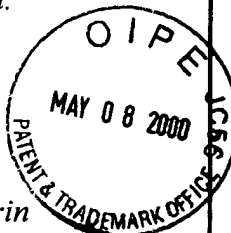
In re the application of: Walter Funk *et al.*

Serial No.: 09/439,740

Filed: November 15, 1999

For: *Recombinant Transferrins, Transferrin
Half-Molecules and Mutants Thereof*

Attorney Docket No.: UVI-005CP2CN



Group Art Unit: 1636

Examiner: Not Yet Assigned

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

May 5, 2000

Date of Signature and of Mail Deposit

By:

A handwritten signature in dark ink, appearing to read "Debra J. Milasincic", written over a horizontal line.

Debra J. Milasincic

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

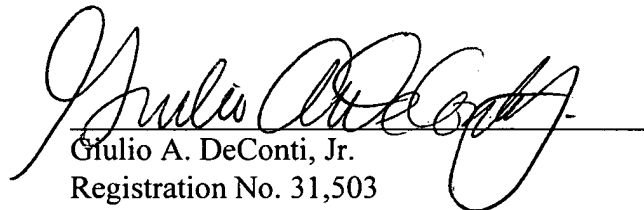
Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated January 6, 2000, Applicants' attorney submit a Request for Two-Month Extension of Time and a check in the amount of **\$190.00** (*one-month extension of time fee*) and a check in the amount of **\$749.00** (*includes \$380.00 basic filing fee, \$65.00 surcharge and \$249.00 claims fee*) based on small entity status. A copy of Form PTO-1533 is also enclosed.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. *A duplicate of this letter is enclosed.*

Respectfully submitted,



Giulio A. DeConti, Jr.
Registration No. 31,503
Attorney for Applicants

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Dated: **May 5, 2000**